



“Slavery and Human Trafficking Statement”

This statement is made pursuant to section 54 (1) of the Modern Slavery Act 2015 and constitutes our slavery and human trafficking statement for the financial year ending 31st December 2018.

Shinkin International Ltd. (“SIL”) is a financial securities company regulated by the Financial Conduct Authority, a wholly owned subsidiary of Shinkin Central Bank in Japan. SIL has over 10 employees and operates in United Kingdom.

SIL operates wholesale securities business with institutional investors in OECD and does Custody business for SIL’s client.

POLICIES ON SLAVERY AND HUMAN TRAFFICKING

SIL is committed to ensuring that there is no modern slavery or human trafficking in SIL’s supply chains or in any part of SIL’s business. SIL’s Anti-slavery Policy reflects SIL’s commitment to acting ethically and with integrity in all SIL’s business relationships and to implementing proper systems and controls to ensure slavery and human trafficking is not taking place anywhere in out supply chains.

DUE DILIGENCE PROCESSES FOR SLAVERY AND HUMAN TRAFFICKING

As part of SIL’s initiative to identify and mitigate risk SIL has in place process to:

- Checking potential risk areas in SIL’s supply chains as appropriate.
- Monitor potential risk areas in SIL’s supply chains as appropriate.
- Protect whistle blowers.

SIL has zero tolerance to slavery and human trafficking. We expect all those in SIL’s supply chains and contractors comply with SIL’s values.

To ensure a high level of understanding of the risks of modern slavery and human trafficking in SIL’s supply chains and SIL’s business, SIL provides training to SIL’s staff.

SIL has appointed Compliance Officer to conduct a review to bring insights on ways to SIL can tackle slavery and human trafficking.

Koichi Kawashima
Managing Director
SHINKIN INTERNATIONAL LTD.
Date: 29th January 2019